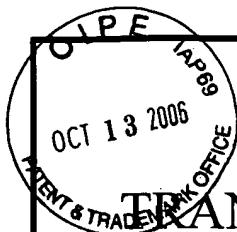


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**TRANSMITTAL
FORM**

Application Serial Number	10/788,741
Filing Date	February 27, 2004
First Named Inventor	Lee
Group Art Unit	2813
Examiner Name	Nguyen, Tuan H.
Attorney Docket No.	ASC-012DV
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form 	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
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<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Form PTO SB/08a/b citing references A73-A95, B30 and C75-C82 <input checked="" type="checkbox"/> Copies of IDS Citations B30 and C75-C82 	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
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PATENT
Attorney Docket No. ASC-012DV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Lee *et al.*
SERIAL NO.: 10/788,741 GROUP NO.: 2813
FILING DATE: February 27, 2004 EXAMINER: Nguyen, Tuan H.
TITLE: STRUCTURES WITH PLANAR STRAINED LAYERS

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The references listed on the enclosed PTO SB/08a/b are submitted solely in compliance with the duty of candor. It is understood that this Supplemental Information Disclosure Statement does not fall within the provisions of 37 C.F.R. §1.97.

It is respectfully requested that the patents and publications listed on the attached PTO SB/08a/b, and other information contained herein, be made of record in this application.

Respectfully submitted,



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Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/788,741
				Filing Date	February 27, 2004
				First Named Inventor	Lee
				Art Unit	2813
				Examiner Name	Nguyen, Tuan H.
Sheet	1	of	2	Attorney Docket Number	ASC-012DV

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Filing Date if Appropriate
		Number-Kind Code ² (if known)			
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	B30	0 829 908 A2 (EP)			T ⁶

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	C75	"2 Bit/Cell EEPROM Cell Using Band-to-Band Tunneling for Data Read-Out," IBM Technical Disclosure Bulletin, Vol. 35, No. 4B (September 1992) pp. 136-140.			
	C76	Bouillon <i>et al.</i> , "Search for the optimal channel architecture for 0.18/0.12 µm bulk CMOS Experimental study," IEEE, (1996) pp. 21.2.1-21.2.4.			

Examiner Signature	Date Considered
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				Examiner Name	Nguyen, Tuan H.
Sheet	2	of	2	Attorney Docket Number	ASC-012DV

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C78	International Search Report for PCT/US03/17275, dated October 14, 2003.	
C79	International Search Report for PCT/US 03/181123, dated Dec. 1, 2003.	
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C81	Maiti <i>et al.</i> , "Strained-Si heterostructure field effect transistors," Semicond. Sci. Technol., Vol. 13 (1998) pp. 1225-1246.	
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Attorney Docket No. ASC-012DV

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APPLICANTS: Lee *et al.*

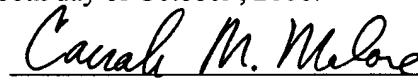
SERIAL NO.: 10/788,741 GROUP NO.: 2813

FILING DATE: February 27, 2004 EXAMINER: Nguyen, Tuan H.

TITLE: STRUCTURES WITH PLANAR STRAINED LAYERS

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3. PTO Form SB/08a/b (2 pages) citing references A73-A95, B30 and C75-C82;
4. Copies of cited references B30 and C75-C82; and
5. Return receipt postcard

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